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Date: January 29, 2002

By: 

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kyle M. Hanson *et al.*

APPLICATION NO.: 09/872,151

CONFIRMATION NO.: 9156

FILED: 05/31/2001

FOR: **APPARATUS AND METHOD FOR  
ELECTROCHEMICAL  
PROCESSING OF  
MICROELECTRONIC  
WORKPIECES**

EXAMINER:

ART UNIT: 1741

RECEIVED  
FEB 14 2002  
TC 1700

**Information Disclosure Statement Within Three Months of  
Application Filing or Before First Action – 37 CFR 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO/SB/08A (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references.
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No.

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
- ☐ References marked by ampersands
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

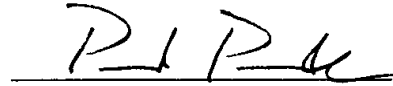
4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. T. Parker', written over a horizontal line.

Date: January 29, 2002

Paul T. Parker

Registration No. 38,264

**Correspondence Address:**

Customer No. 25096

Phone: (206) 583-8888

FEB 11 2002

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)**COMPLETE IF KNOWN**

Application Number	09/872,151
Confirmation Number	9156
Filing Date	05/31/2001
First Named Inventor	Kyle M. Hanson et al.
Group Art Unit	1741
Examiner Name	

Sheet

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of

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Attorney Docket No. 29195.8158US

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIALS*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
		1,526,644		Pinney	02/17/1925	
		1,881,713		Laukel	10/11/1932	
		4,046,105		Gomez	09/06/1977	
		4,304,641		Grandia et al.	12/08/1981	
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**FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIALS*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
		PCT	WO 00/61498		Wilson et al.	10/19/2000		
		PCT	WO 00/61837		Wilson et al.	10/19/2000		

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

*EXAMINER INITIALS*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T

EXAMINER

DATE CONSIDERED